Forest Carbon Partnership Facility

Readiness Assessment Framework: Setting the stage for R-Package Reviews

Nineteenth Meeting of the Participants Committee (PC19)
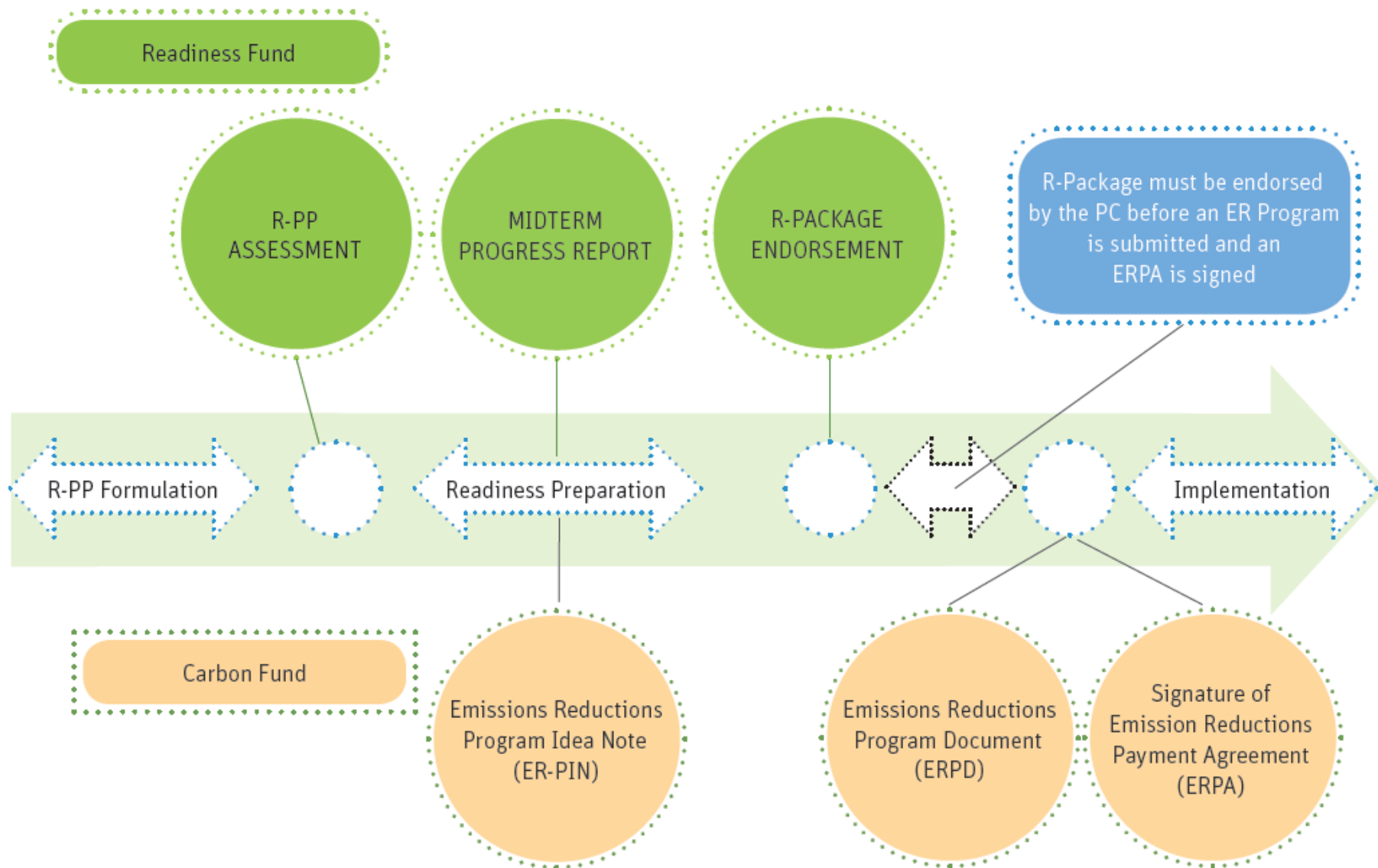
Washington D.C.

May 17-19, 2015

FOREST CARBON PARTNERSHIP FACILITY

Session Objectives

- Describe key features of the Readiness Assessment Framework

- Explain the process of the R-Package Review at this meeting

Readiness Assessment: Milestones in FCPF Readiness and Carbon Fund processes
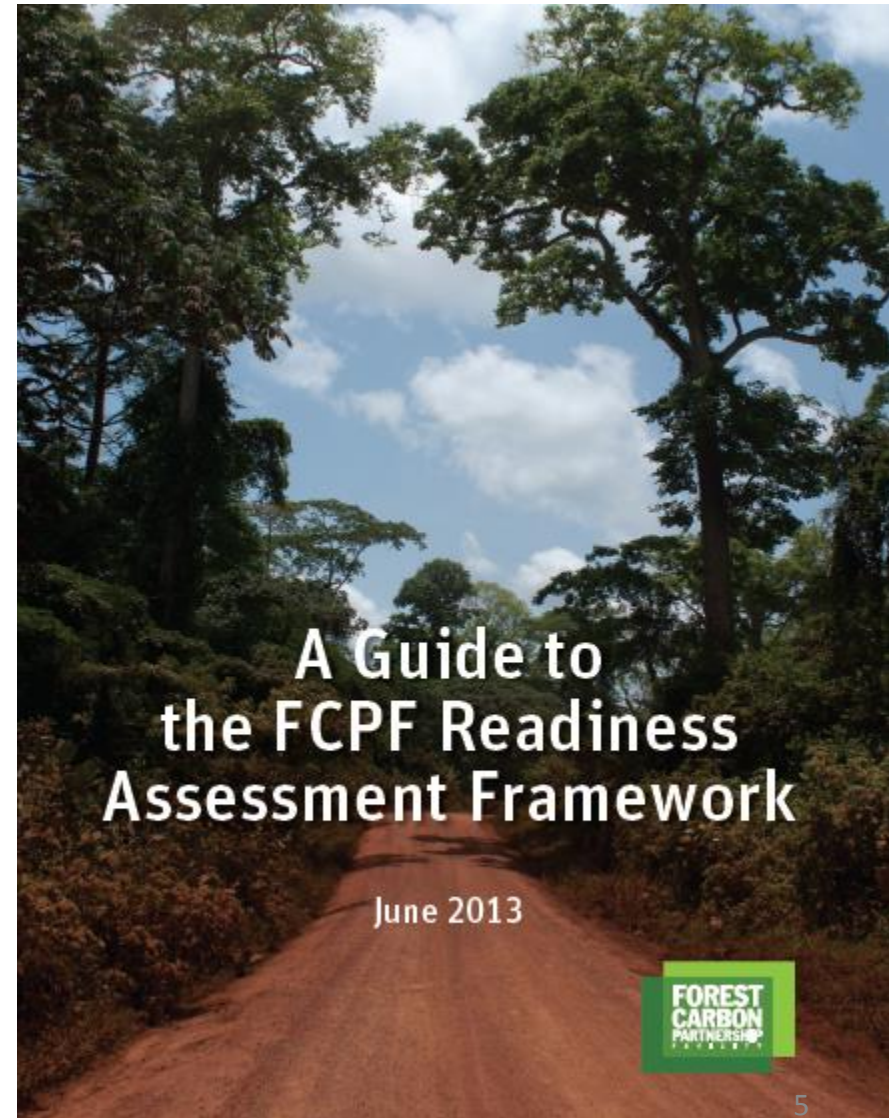
Readiness assessment serves multiple purposes

It provides a country with the opportunity to:

– Assess **progress** on readiness preparation

– **Demonstrate** national **commitment** to REDD+

– Receive valuable **feedback** and **technical guidance**

– Submit a program to the **Carbon Fund** (eligibility requirement)

– **Focus** readiness preparation activities going forward

– Display **transparency** in readiness preparations

– **Demonstrate** that relevant social and environmental risks are being addressed

– Receive international **recognition** for early REDD+ activities

– Potentially **attract additional funds** from external sources for further work or scaling up activities

Readiness Assessment Framework

- The Readiness Assessment Framework was adopted by PC14
  - Resolution PC/14/2013/1
  - FMT Note 2013-1 rev

- A guidance document is available on the FCPF website

A Guide to
the FCPF Readiness
Assessment Framework

June 2013

FOREST CARBON PARTNERSHIP

The Readiness Assessment Framework is designed to measure *relative* progress

- Provides a common framework to consider a country's progress on core readiness activities
    - Not an absolute standard for readiness
    - Pace of progress varies from country to country
    - Many aspects of readiness will remain ongoing
    - Includes all readiness preparation activities (irrespective of funding source)

Countries report progress on same Readiness components over time

```
┌─────────┐        ┌──────────────┐        ┌─────────────┐
│  R-PP   │───────▶│  Mid-term    │───────▶│  Readiness  │
│         │        │  Progress    │        │  Package    │
│         │        │  Report      │        │             │
└─────────┘        └──────────────┘        └─────────────┘
```

Four Readiness Components (9 subcomponents)

1. Readiness Organization and Consultation

2. REDD+ Strategy Preparation

3. Reference Emissions Levels/Reference Levels

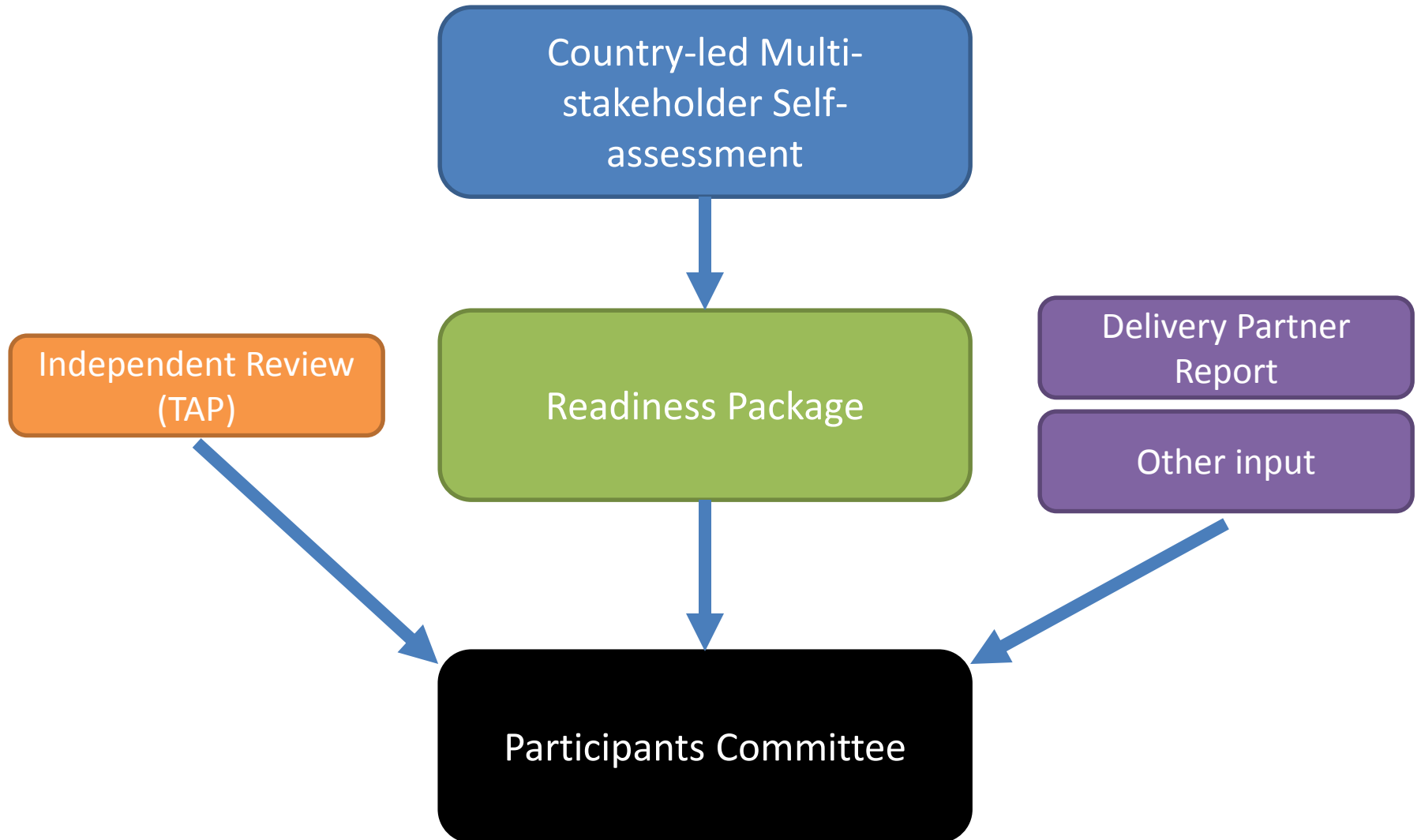4. Monitoring Systems for Forests, and Safeguards

Structure of the Assessment Framework

For each subcomponent (9),
the assessment framework provides

- Rationale
  - Describes the role and function of subcomponent activities

- Assessment criteria (34 total)
  - Capture core aspects related to each subcomponent

- Diagnostic questions (58 total)
  - Capture desired outcome of readiness preparations activities

- Guidance notes
  - Provide guidance, good practice examples, and references

Readiness Assessment Process

REDD Countries perform a Self-Assessment

- Participatory and inclusive

- Builds on practices established during readiness phase
  - e.g., Strategic Environmental and Social Assessment

- FMT guidance document includes good practice on assessment preparation, implementation, and communication of its outcome

REDD Countries compile the R-Package and report results of the self-assessment

- Summary of readiness preparation process
  - Including how issues identified at mid-term were addressed

- Report on the multi-stakeholder self-assessment

- Assessment results for each subcomponent
  - Synthesis of the overall achievement
  - Analysis of strengths and weaknesses
  - Actions going forward

Green	'significant progress'
Yellow	'progressing well, further development required'
Orange	'further development required'
Red	'not yet demonstrating progress'

- References to key products
  - REDD+ Strategy
  - REL/RL, MRV technical report
  - Environmental and Social Management Framework
  - Feedback and Grievance Redress Mechanism

➢ *Delivery Partner reviews quality of outputs as part of grant implementation supervision*

➢ *Supporting documents compiled on a website*

11

PC receives input from independent experts

- A Technical Advisory Panel (TAP)
  – Performs an independent desk review of countries' progress (guided by the Assessment Framework)
  – Provides targeted feedback, highlights strengths and weaknesses in subcomponents, and propose actions going forward

  ➢ *The role of the TAP is not to second-guess the countries' comprehensive multi-stakeholder self-assessment, rather focus on whether a due process and approach was followed to perform the self-assessment and provide constructive feedback.*

The Readiness Assessment comes before final decisions on programs under the Carbon Fund

- All core components of readiness preparation are reviewed again for Carbon Fund emission reduction programs, with more focus on detailed implementation, including
  - Safeguards
  - Benefit sharing arrangements
  - Reference levels and forest monitoring

- The R-Package review informs the development and assessment of REDD+ Programs under the FCPF Carbon Fund
  - Vice versa: the preparation of an Emission Reduction Program for the Carbon Fund provides focus for national readiness preparation activities

- The Carbon Fund Methodological Framework and program documents for emission reduction programs align with core components of Readiness Assessment Framework

Key Messages

- The R-Package endorsement by the PC is one of several requirements for the **Carbon Fund**
  - R-Package is voluntary for the Readiness Fund
  - Endorsement is necessary, but not sufficient, to have an ER-PD selected into the Carbon Fund portfolio
  - ER Programs are comprehensively assessed by the World Bank, TAP, Carbon Fund Participants
  - Carbon Fund has adopted a Methodological Framework that stipulates technical, programmatic requirements

- The assessment follows a structured, but flexible, framework
  - Tailored to country-specific context
  - Consistent with UNFCCC and Warsaw REDD Framework

- R-Packages will vary country by country as they reflect different country-led processes, progress, priorities and timelines
  - Many aspects of readiness will remain ongoing

PC19 Action: Resolution sought on Readiness Package Endorsement

- Review, discuss and recognize DRC's progress
  - Consider input from TAP, World Bank grant reporting and other input
- Provide feedback
  - Strengths and weaknesses
- Encourage actions going forward
- The resolution recognizes the readiness progress achieved
  - Constitutes 'endorsement' as per FCPF Charter

- After the plenary, a facilitated contact group
  - Reviews a draft resolution and collates key feedback from plenary